

App. No. 10/605,020

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## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Glenn Ballman  
Appl. No. : 10/605,020  
Filed : 09/02/2003  
Title : A System for Securities Exchange with Price Instability Alerts that are  
triggered when a security moves outside "pre-set" and/or Dynamic  
Calculated trading Price Ranges Over a Network  
Grp./A.U. : 3693  
Examiner : Rajesh Khattar

Honorable Commissioner of for Patents  
Washington, D.C. 20231

Amendment A

Sir:

In response to the Office Action mailed 2007, August 20, please and the above  
application as follows: